IAP6 Rec'd PCT/PTO 1 7 IIII 2007 FORM PTO-1390 US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER (REV_01-2003) 129621 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/594.965 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2005/006693 March 30, 2005 March 30, 2004 TITLE OF INVENTION A CONTROL APPARATUS FOR AN INTERNAL COMBUSTION ENGINE CAPABLE OF PRE-MIXED CHARGE COMPRESSION IGNITION APPLICANTS FOR DO/EO/US Kyoung-Oh KIM; Tatsuo KOBAYASHI; Masato KUBOTA; Yasushi NOGUCHI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1 This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (21) indicated below. 4. ☐ The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) c.

 is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) b.
 ☐ has been previously submitted under 35 U.S.C. 154(d)(4). The International Application was filed in English. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d.
 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. q An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 10. (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11 ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12 13 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Other items or information: PCT Trans; Notice of Acceptance & Filing Receipt Status Request.

A second copy of the published international application under 35 U.S.C. 154(d)(4).

page 1 of 2

15. 16.

17. 18.

19.

20.

A substitute specification.

A power of attorney and/or change of address letter.

| U.S. APPLICATION NO. (if known, see 37 10/594,965 - | FION NO. (if known, see 37 C.F.R. 1.5) INTERNATIONAL APPLICATION NO. PCT/JP2005/006693 | | ATTORNEY'S DOCKET NUMBER | | |
|--|--|------------------------|--------------------------|--|--------------|
| 21. The following fees are submitted: | | | CALCULATIONS | PTO USE ONLY | |
| 21. In the following rees are subfiltited. | | | | | |
| | | | | | T |
| BASIC NATIONAL FEE (37 CFR 1.492(a)):\$ 300.00 | | | | \$ | |
| SEARCH FEE (37 CFR 1.492(b)(1)-(3)): | | | | \$ | |
| | | | | 1 | |
| International preliminary examination report or written opinion prepared by | | | | 1 | |
| the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the | | | | 1 | |
| national phase\$ 0.00 | | | | | |
| | | | | | |
| International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA\$ 100.00 | | | | 1 | |
| | | | | | |
| International search report provided to USPTO no later than the time at which | | | | | |
| the search fee is paid\$ 400.00 | | | | | |
| All situations not provided for above\$ 500.00 | | | | | |
| EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)): | | | | s | |
| EXAMINATION FEE (37 CFR 1.492(C)(1)-(2)): | | | | Ψ | |
| International preliminary examination report or written opinion prepared by | | | | | |
| the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and | | | | 1 | l ' |
| industrial applicability for all claims presented in the application entering the | | | | | |
| national phase\$ 0.00 | | | | | |
| All 22 - 22 - 22 - 22 - 22 - 22 - 22 - 2 | | | | | |
| All situations not provided for above \$200.00 | | | | \$ | |
| Surcharge of \$130.00 for furnishing the search fee, the examination fee or the oath or declaration after the date of commencement of the national phase (37 CFR 1.492(h)). | | | | \$ | |
| | increcincii oi | The Hational phase (or | OF IX 1.40E(11)). | | |
| APPLICATION SIZE FEE | ÷ 50 | = + | x 250 = | s | |
| Total pages - 100 = | | 1 ' | L | <u> </u> | L |
| fround up to next integer | | | | | |
| | MBER FILED | NUMBER EXTRA | RATE | \$ | |
| TOTAL CLAIMS | - 20 | - | x 50.00 = | \$ | |
| INDEPENDENT CLAIMS | - 3 | | x 200.00 = + 360.00 = | \$ | |
| MULTIPLE DEPENDENT CLAIM(S)(if applicable) + 360.00 = TOTAL OF ABOVE CALCULATIONS = | | | | \$ \$ | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are | | | | \$ | |
| reduced by ½. | | | | • | |
| SUBTOTAL = | | | | \$ | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from | | | | \$ | |
| the earliest claimed priority date (37 CFR 1.492(i)). | | | | | |
| TOTAL NATIONAL FEE = | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ | , |
| | | | | ļ | |
| TOTAL FEES ENCLOSED = | | | | | |
| | | | | Amount to be | s |
| | | | | refunded: | \$ |
| | | | | charged: | Ι Φ |
| a. Check No in the amount of \$ to cover the above fees is enclosed. b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. | | | | | |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to | | | | | |
| The Commissioner is referred administration to dialoge any additional ress which may be required, or dream any overpayment to Deposit Account No. 15-0461. | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card | | | | | |
| information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: When you are said the limit and 27 OFD 4 405 has not been red a solition to service (27 OFD 4 437/2) (5) | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
| OLIFF & BERRIDGE, PLC | | | | | |
| Customer Number: 25944 NAME: James A. Oliff | | | | | |
| REGISTRATION NUMBER: 27,075 | | | | | |
| 5.1. 1.1.17 2007 | | 6 | NAME: 1 | as E Calladay " | |
| | | | | ies E. Golladay, II ION NUMBER: 58, | 182 |
| | IOIT HOMBER. 30, | 102 | | | |
| 1 | | | | | |
| 1 | | | | | |

. .

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kyoung-Oh KIM et al.

ATTN: Mail Stop PCT

Application No.: 10/594,965

Docket No.: 129621

Filed: June 25, 2007

For: A CONTROL APPARATUS FOR AN INTERNAL COMBUSTION ENGINE

CAPABLE OF PRE-MIXED CHARGE COMPRESSION IGNITION

NOTIFICATION OF ACCEPTANCE AND FILING RECEIPT STATUS REQUEST

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The above-captioned patent application entered the National Phase on September 29, 2006. The 35 U.S.C. 371 requirements were completed on June 25, 2007.

The original Notification of Acceptance and Filing Receipt have not yet been received. It is respectfully requested that the original Notification of Acceptance and Filing Receipt be immediately forwarded to the attorneys of record at the address set forth below.

If there are any questions regarding this matter, please contact the undersigned at the telephone number set forth below.

Respectfully submitted,

James A. Oliff
Registration No. 27,075

James E. Golladay, II Registration No. 58,182

JAO:JEG/nxy

Date: July 17, 2007

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400